



ChappelWood Financial Services Corp.
Form ADV Part 2B – Firm Brochure Supplement
CRD # 134318

Financial District of Oklahoma
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Edmond, OK 73013
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www.ChappelWood.com

Date of Brochure Supplement: January 1, 2024

This Brochure Supplement provides information about the advisors of ChappelWood Financial Services (CFS) that supplements the CFS) Brochure. You should have received a copy of that Brochure. Please contact CFS if you did not receive CFS's Brochure or if you have any questions about the contents of this supplement.

Additional information about CFS is also available via the SEC's web site www.Advisorinfo.sec.gov. The SEC's web site also provides information about any persons affiliated with CFS who are registered, or are required to be registered, as Investment Advisor Representative of CFS.

The information in this brochure supplement has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Item 2 – Educational Background and Business Experience

Victoria Lynn Woods

DOB: 09/10/1955

ChappelWood Financial Services Corp. currently an Oklahoma Independent Registered Investment Advisory Firm, position CEO/CCO/Chief Investment Advisor 1988-current

ChappelWood Investment Corp., Real Estate Development, position Founder and CEO 1990-current

Founder of the 84 Acre Financial District of Oklahoma, located in Edmond, OK Insurance Agent Licensed 1988-Current Oklahoma Resident, Non Resident in Texas and California

Investment Co Product/Variable Contract Representative Series 6 - 3/26/1997

Uniform Securities Agent State Law Examination Series 63 – 4/10/1997

Uniform Securities Agent State Law Examination Series 65 – 12/31/2004

AssetMark Silver Premier Consultant 2010- advisors with a minimum of \$5 million in net Assets Under Management, attendance in multiple “Mastery Program”, by invitation only to exclusive regional meetings, held in cities across the country, feature access to portfolio strategists and Investment Policy members. Participate in break-out sessions on important topics affecting the financial services industry, and interact with your peers to discuss new ways to improve our practice.

AssetMark Gold Premier Consultant 2015-Minimum of \$25 Million in Assets Under Management

Appointed by Governor Fallin-2013 State Commissioner for the State of Oklahoma Status of Women, currently Finance Chair

Member Financial Planning Association

Host of *It's All About the \$Money, Honey!*, the weekly Radio Show heard on NewsRadio 1000 KTOK and iTunes.

Formal Post High School Education - Tarrant County College

Damon Matthew King

DOB 11/14/1976

ChappelWood Financial Services Corp. an Oklahoma Registered Investment Advisory Firm, position Investment Advisor, 2015-current

Insurance Agent Licensed 2014 - Current Oklahoma Resident

CERTIFIED FINANCIAL PLANNER™ - conferred 1/1/2017. Initial qualifications to earn the CFP® marks required:

- completion of an education program consisting of 6 courses covering a variety of financial disciplines.
- passing the CFP® examination.
- completion of at least three years of professional practice.
- adherence to a code of ethics.
- payment of initial certification fee.

Renewal of certification requires 30 hours of continuing education every two years, payment of an annual fee, and continued adherence to a code of ethics.

Uniform Securities Act Examination Series 66 – 11/4/2014

Uniform Investment Adviser Law Examination Series 65 – 9/29/2015

Member of Financial Planning Association. Twelve years as a professional fundraiser specializing in charitable gift planning for high net worth families. Earned the Certified Fund Raising Executive designation.

Formal Post High School Education – University of Central Oklahoma, B.B.A. Marketing

Formal Post Graduate Education – The American College CERTIFIED FINANCIAL PLANNER™ educational program

Lisa Danielle Ward

DOB 03/31/1989

New York Life Insurance and NYLife Securities, an insurance and investment firm, position Agent and Registered Representative, 2018-2020

Osaic, a Broker-Dealer, position Investment Advisor Representative, 2020-2023

ChappelWood Financial Services Corp. an Oklahoma Registered Investment Advisory Firm, position Investment Advisor Representative, 2023-current

Insurance Agent Licensed 2018 - Current Texas Resident

CERTIFIED FINANCIAL PLANNER™ - conferred 4/12/2023. Initial qualifications to earn the CFP® marks required:

- completion of an education program consisting of 7 courses covering a variety of financial disciplines.
- passing the CFP® examination.
- completion of at least three years of professional practice.
- adherence to a code of ethics.
- payment of initial certification fee.

Renewal of certification requires 30 hours of continuing education every two years, payment of an annual fee, and continued adherence to a code of ethics.

Uniform Securities Act Examination Series 6 – 10/6/2018

Uniform Investment Adviser Law Examination Series 65 – 1/14/2022

Uniform Securities Agent State Law Examination Series 63 – 3/11/2019

Formal Post High School Education – Texas Christian University, Master in Business Administration, 2018

Formal Post Graduate Education – Kaplan CERTIFIED FINANCIAL PLANNER™ educational program

Item 3 – Disciplinary Information

Registered Investment Advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing Investment advice.

There are no legal or disciplinary events to report for this period.

Item 4 – Other Business Activities

ChappelWood Investment Corp. (CWI), a real estate development and holding company. Ms. Woods devotes less than 2% (approx. 4 hours) each month of her professional time to her capacities at CWI.

Radio Show Hosts - “It’s All About the \$Money, Honey!” on NewsRadio 1000 KTOK Saturdays at 1:00pm and Sundays at 6:00am in Oklahoma City. Ms. Woods and Mr. King devote less than 10% of their time as Financial Radio Show Hosts.

Item 5 – Additional Compensation

Registered Investment advisors are required to describe the arrangement if someone who is not a *client* provides an economic benefit to the *supervised person* for providing advisory services.

Ms. Woods – does not receive any additional economic benefit from any individual who is not a client.

Mr. King – Adjunct Faculty member at Francis Tuttle Technology Center in Oklahoma City, OK. Mr. King teaches approximately 12 hours per year at a rate of \$30 per hour.

Miss Ward – does not receive any additional economic benefit from any individual who is not a client.

With respect to the AssetMark Platform, when we qualify, CFS, subject to negotiation with AssetMark, receives certain allowances, reimbursements or services from AssetMark in connection with CFS's Investment Advisory services to its clients, as described below and in the Appendix 1 of the AssetMark Platform Disclosure Brochure.

Under AssetMark's Gold/Platinum Premier Consultant Program, CFS is entitled to receive a quarterly business development allowance for reimbursement for qualified marketing/practice management expenses incurred by CFS. This amount is \$10,000 annually.

AssetMark may also bear the cost of airfare for firms such as CFS to attend AssetMark's annual conference or to conduct due diligence visits to AssetMark's offices. In addition, AssetMark may, from time to time, contribute to the costs incurred by participating firms such as CFS in connection with conferences or other client events conducted by such firms and their representatives.

AssetMark may also provide opportunities for participating firms such as CFS to receive fee reductions and/or allowances in amounts ranging from .02% to .07% of the amount of client assets invested through the Platform. These arrangements are entered into between AssetMark and a firm such as CFS on an individually negotiated basis. CFS may agree to provide AssetMark with introductions to and information concerning its advisory representatives, provide the representatives with information concerning AssetMark's Platform and products, and permit AssetMark to participate in broker- dealer meetings and workshops. In addition to the fee reductions and/or allowances granted the firm by AssetMark, AssetMark may agree to provide the firm or its representatives with organizational consulting, education, training and marketing support.

From time to time, in certain circumstances, ChappelWood Financial will accept sponsorship from a strategist for a client event, for the benefit of our guests.

Item 6 – Supervision

Registered Investment Advisors are required to explain how they *supervise* the *supervised person*, including how they monitor the advice the *supervised person* provides to *clients*.

Ms. Woods – As CEO and Compliance Officer, supervises Damon King, CFP®, an Investment Advisor Representative, and Lisa Ward, CFP®, and Investment Advisor Representative. Ms. Woods has supervisory oversight regarding all of Mr. King's and Miss Ward's professional activities, including, but not limited to, recommendations, interactions with clients, and continuing education requirements. Ms. Woods reviews all new investment and insurance proposals, and ensures adherence to all applicable laws, regulations, and firm policies.

Item 7 – Requirements for State-Registered Advisors

Registered Investment Advisors are required to disclose all material facts regarding any arbitration claims they have been found liable in and any civil, *self-regulatory organization*, or *administrative proceedings* they have been found liable in that would be material to your evaluation of each supervised person providing investment advice.

No information is applicable to this Item.